



Corneal Refractive Surgery: Coming Full Circle

Photorefractive keratectomy used to be the procedure of choice simply because it was the only show in town. But, more than a decade later, is there good reason to make it our top pick? **By Richard B. Mangan, O.D.**

The concept of reshaping corneal tissue with a laser without thermal collateral damage was first introduced by Stephen L. Trokel, M.D., in 1983. Marguerite B. McDonald, M.D., would later see excimer laser technology through the human clinical trials, with the first human eye being treated by her in 1989.¹

Dr. Trokel's vision of eliminating refractive errors via laser corneal refractive surgery came to fruition in October 1995 when the first excimer laser received FDA approval in the United States for the treatment of mild to moderate myopia. The laser used was the Summit SVS Apex (Summit Technologies, Inc.) and the procedure was photorefractive keratectomy (PRK).

Despite the fact that we had no significant long-term data to offer patients, roughly 70,000 PRK procedures were performed in the United States in 1996.² My own

eyes included. Results early on with excimer laser PRK were excellent.³ During this time, however, clinical trials were already underway in merging the pioneering work of Dr. Trokel and Dr. McDonald with that of Drs. Barraquer and Ruiz.

In 1949, ophthalmologist Jose Ignacio Barraquer, M.D., of Bogota, Columbia, theorized that the addition or subtraction of lamellar tissue could modify the cornea's refractive power. Dr. Barraquer conceptualized and developed a small handheld keratome, similar to a carpenter's plane, which he used to resect layers of corneal tissue. Dr. Barraquer's protégé, Luis Ruiz, M.D., would later expand on his ideas and develop the original automated microkeratome. In 1998, the FDA approved laser in situ keratomileusis (LASIK). The Chiron Automated Corneal Shaper (ACS) and the Bausch + Lomb Hansatome were among the first

modern day microkeratomes utilized during the stromal ablation revolution in the United States.

Finally, we could offer patients a bilateral, pain-free, convenient and immediately effective procedure. Stock volume soared, and laser centers began popping up all over the place.

The result? Procedure volume increased to more than 1,400,000 cases in the year 2000, according to Market Scope. And, through 2006, 24.6 million cases had been performed worldwide.⁴ LASIK had quickly become the most common refractive procedure in the world.⁵

During that time, newer generations of mechanical microkeratomes were being developed and femtosecond laser flap technology was introduced (IntraLase Inc., 2001). More predictable flap creation had arrived and the excitement over LASIK was at an all-time high. While PRK was still used in

cases of inadequate corneal thickness or basement membrane dystrophy, it became an afterthought at most.

In 2005, however, a panel of the world's leading experts in laser refractive surgery, cornea and ocular surface disease convened in Seattle during the American Society of Cataract and Refractive Surgery (ASCRS) Summer Refractive Congress.⁶ Moderated by Richard L. Lindstrom, M.D., this panel of experts gathered to discuss a noted resurgence in advanced surface ablation techniques. The panel offered the following reasons to explain this renewed interest in surface treatments:

- Fewer residual higher-order aberrations, as compared to LASIK.
- Concerns over LASIK flap-related complications.
- Concerns over post-LASIK ectasia.
- Concerns over LASIK's effect on tear film stability.
- Improved wound healing after PRK with mitomycin C (MMC).

In the following pages, we will look closely at these observations from an evidence-based perspective.

PRK May Be Better Suited for Wavefront Technology

Wavefront-guided refractive surgery received FDA approval in August 2002. Up until that

time, traditional ablation profiles addressed second-order aberrations only—notably myopia, hyperopia and astigmatism. However, studies utilizing wavefront aberrometry showed that traditional PRK and LASIK procedures had a tendency to increase higher-order aberrations.^{7,8} LASIK, especially, had been shown to increase coma and spherical-like aberrations in larger myopic treatments.⁹ This provided some explanation as to why patients with postoperative 20/20 vision complained about their quality of vision.

Since the introduction of wavefront-guided ablations, investigators have shown that utilizing a wavefront-guided custom profile reduces higher-order aberrations in eyes that undergo LASIK.¹⁰ However, studies also show that in comparing wavefront-guided PRK to wavefront-guided LASIK, PRK induces statistically fewer higher-order aberrations.¹¹

Colleagues at the Moran Eye Center in Salt Lake City conducted a prospective study comparing wavefront-guided PRK and wavefront-guided LASIK; one of the outcome measures being higher-order aberrations (HOAs).¹² Some 104 eyes received custom PRK, and 104 eyes received custom LASIK. All were treated with Visx Star 4 IR Customvue platform.

Post-treatment wavefront analysis showed that the PRK-treated eyes had fewer residual HOAs (coma,

trefoil and spherical aberration) when compared to the LASIK-treated eyes. At six months post-op, the root mean square (RMS, or sum total of higher-order aberrations) for the PRK group was 0.45 μ m (+/- 0.13 μ m), representing a factor increase of 1.29. The LASIK group had an RMS of 0.59 μ m (+/- 0.22 μ m), representing a factor increase of 1.84.

PRK Eliminates Concern Over Flap-Related Complications

While no refractive procedure is without the risk of intra- or postoperative complications, flap-related complications can be significant. With recent advancements in microkeratome technology, as well as the introduction of femtosecond flaps, intraoperative complications, such as buttonhole flaps and free caps, are at an all-time low. Nonetheless, flap complications still occur.

A study out of Hong Kong assessed the complication rate of sub-Bowman's keratomileusis (SBK) in 3,009 eyes.¹³ The flaps were created with the IntraLase femtosecond laser. Intraoperative complications including flap tear, free cap, bubble escape, and flap folds had occurred at a complication rate of 0.33%. Postoperative flap-related complications occurred at a rate of 0.30% and included diffuse lamellar keratitis (DLK) and epithelial ingrowth. The aggregate peri-surgical flap complication rate in this series was

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Goal Statement: Refractive surgery has come a long way since its modest beginnings. When PRK was approved, clinicians reported excellent results. But, LASIK soon became the procedure of choice and has enjoyed its status ever since. Lately, however, there is renewed interest in surface treatments. For example, some clinicians note fewer higher order aberrations with these treatments, compared to LASIK as well as some complications specific to LASIK

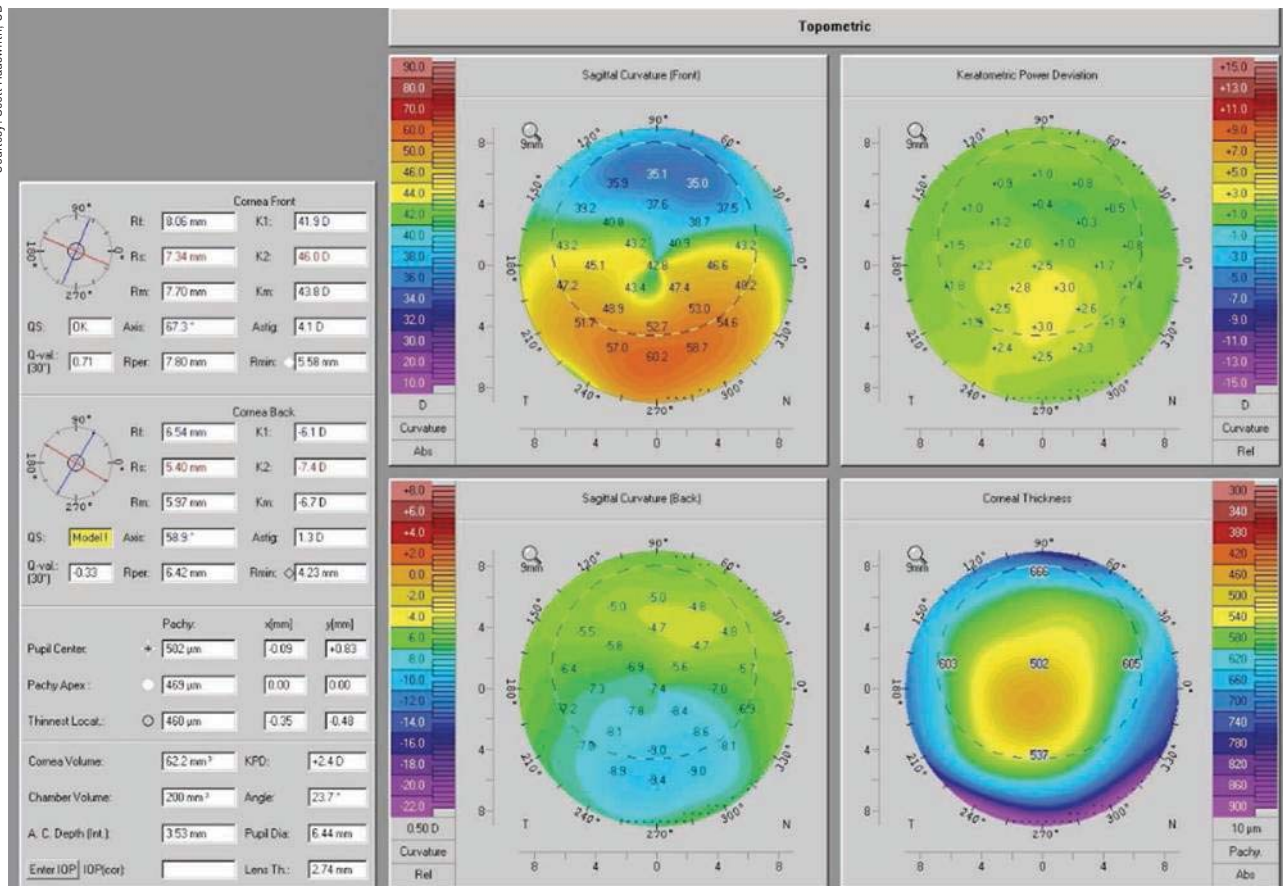
treatments. This paper explores these and other reasons for the resurgence in surface ablation techniques.

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1, 2. The pictures located above and at right are of the right and left eye of the same patient. Scans taken with Pentacam (Oculus).

0.63%.

In comparison, a retrospective analysis of more than 28,500 LASIK procedures that involved either the Chiron Automated Corneal Shaper or Hansatome microkeratomers determined an intraoperative complication rate of 0.302% and included partial flaps, buttonholes, thin or irregular flaps, and free caps.¹⁴

PRK Involves Less Risk of Corneal Ectasia

Iatrogenic corneal ectasia is a serious complication that has been linked to LASIK; and in some cases has led to penetrating keratoplasty.¹⁵⁻¹⁷ The incidence of corneal ectasia after LASIK has been estimated to be 0.2% to 0.66%.^{18,19} While ectasia can also occur with surface ablations, one group's retrospective analysis of 171 cases of ectasia determined that LASIK accounted for 95.9% (n=164) of them.²⁰

Post-LASIK ectasia is most commonly seen within four years of treatment²¹ and is characterized by central to inferior corneal thinning, steepening and irregularity (*figures 1 and 2*). Compound myopic shifts in refractive error are common, as is loss of best-correct-

ed visual acuity.^{22,23}

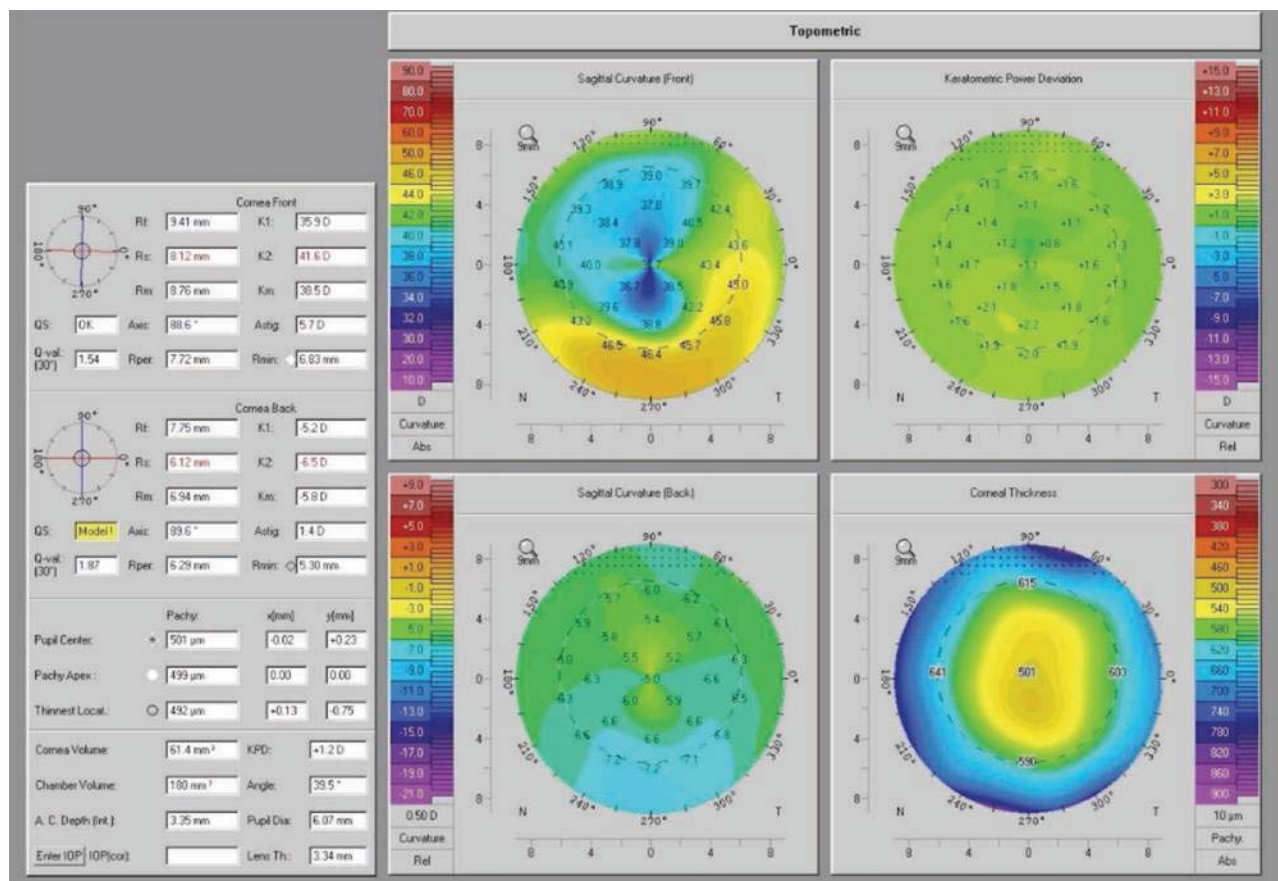
Several risk factors have been identified for post-LASIK ectasia:²⁴

- Thin cornea at baseline.
- Thick corneal flap.
- Low residual stromal bed (RSB).
- Excessive ablation.
- Irregular corneal thickness.
- Diverse ablation rates.
- Pre-existing keratoconus or forme fruste keratoconus
- High intraocular pressure (IOP).

According to one recent study, abnormal topography presents the greatest risk in the development of post-LASIK ectasia, followed (in order) by RSB thickness, age and preoperative corneal thickness.²⁵

Of greater concern to corneal refractive specialists is idiopathic ectasia. This is ectasia that develops despite the absence of preoperative risk factors.²⁶

In 2003, investigators in Chicago reviewed 1,555 potential cases of idiopathic post-LASIK ectasia found via refractive surgery-related internet bulletin boards. Cases were considered idiopathic if the following



criteria were met:

- Calculated RSB greater than 250μm.
- Preoperative central pachymetry was not less than 500μm.
- Any and all K readings were less than 47.2D.
- A calculated inferior-superior value less than 1.4.
- Have had no more than one retreatment.
- Level of primary correction did not exceed -12.00D.
- Orbscan II “posterior float” did not exceed 50μm.
- There were no surgical or flap complications.

Eight eyes of eight patients met the criteria. Results for these eight eyes were as follows:

- Mean age was 27.7 years (range 18 to 41 years).
- Preoperative manifest refraction spherical equivalent was -4.61D (range -2.00D to -8.00D).
- Steepest keratometric reading was 43.86D (range 42.50D to 46.40D).
- Keratometric astigmatism was 0.93D (range 0.25D to 1.90D).
- Preoperative central pachymetry was 537μm (range 505μm to 560μm).
- The mean calculated ablation depth was 82.8μm

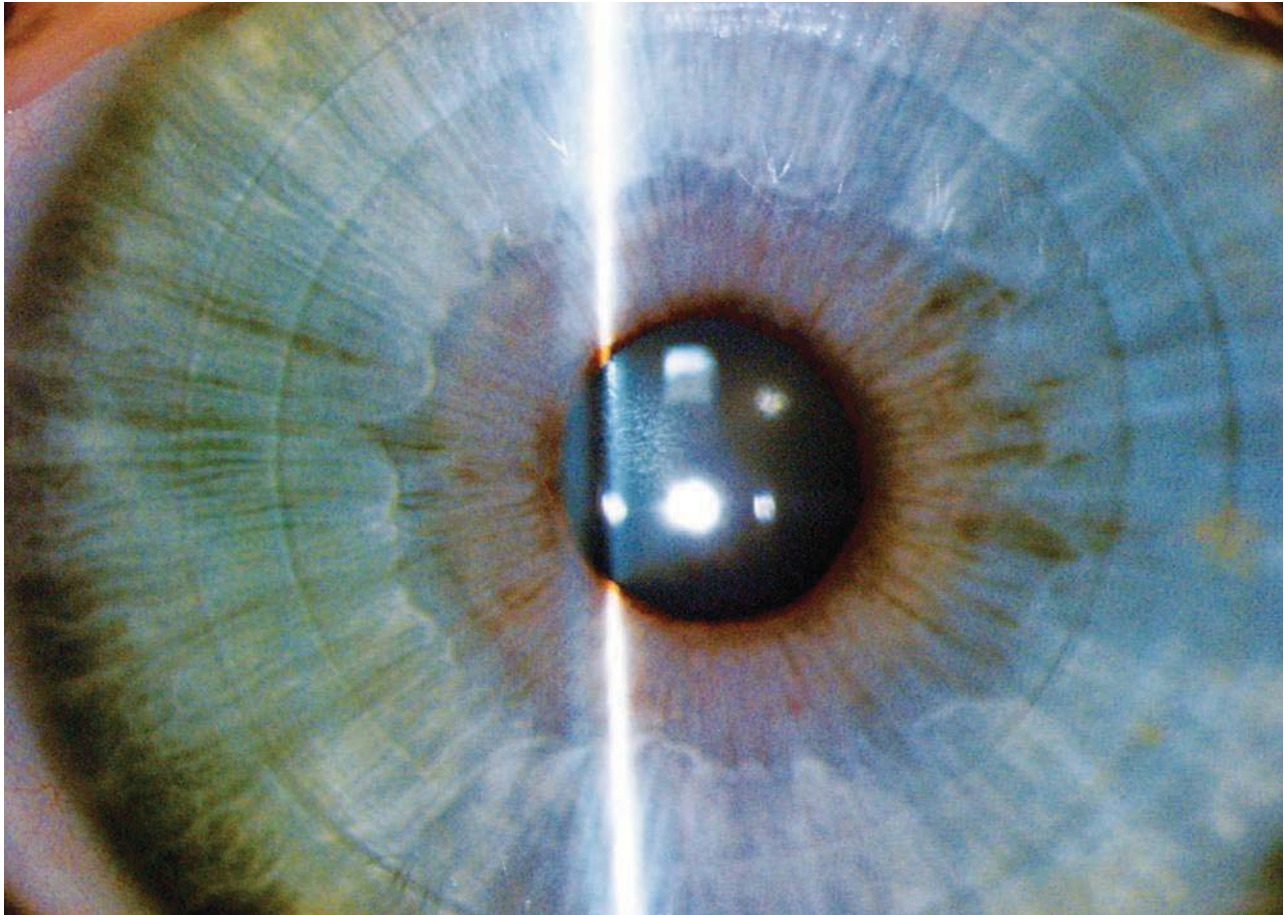
(range 21μm to 125.4μm).

- The mean calculated residual stromal bed was 299.5μm (range 254μm 373μm).
- Mean time to recognition of ectasia onset was 14.2 months (range three to 27 months) postoperatively.
- At the time of ectasia diagnosis, the mean manifest refraction spherical equivalent was -1.23D (range +0.125D to -3.00D) with a mean of 2.72D (range 0.75D to 4.00D) of astigmatism.

Less Risk of Causing or Exacerbating Dry Eye with Surface Ablation Techniques

Managing ocular surface disease around refractive surgery can be a challenge. When we take into consideration that a significant percentage of those individuals pursuing laser vision correction are patients that have become contact lens intolerant secondary to dry eye, it's important that we guide them to a procedure that will lessen their risk of prolonged discomfort and regression postoperatively.

There are three main biomechanical reasons why dry eye signs and symptoms following LASIK tend to be more significant and last longer when compared to PRK:



3. FLEX procedure: In this picture, you can see the extent of the flap and the edge corresponding to the extracted lenticule (after a few days, you cannot see these edges).

- *Sensory denervation through the severing of the long ciliary nerve branches of the ophthalmic division of the corneal nerve.*²⁷ This, in turn, adversely affects the neuronal-feedback loop responsible for ocular surface homeostasis.

- *Sensory denervation through direct ablation of the subepithelial nerve plexus.*²⁸ Because photoablation begins deeper into the corneal stroma after flap creation, a greater number of corneal nerves and corneal nerve roots are affected.

- *The use of a high-pressure suction device or ring during flap creation.*²⁹⁻³¹ This causes a 40% to 50% reduction in conjunctival goblet cells, resulting in insufficient mucin production.

These factors secondarily lead to a reduction in tear secretion, tear film stability, tear clearance and blink rate. Meanwhile, there is an increase in tear film osmolarity and punctate staining.³²

Also, an estimated 20% to 36% of patients who are asymptomatic prior to LASIK develop chronic dry eye,

lasting a minimum of six months postoperatively.^{33,34} By comparison, studies show that corneal sensations after PRK return to pre-treatment levels by three months post-op, and in some cases as early as one month.^{35,36}

Less Concern Over Haze and Regression Post-PRK with Intraoperative Use of Mitomycin C

The risk of corneal haze and regression after PRK for higher refractive errors has been a longstanding concern for refractive specialists.

Following PRK, the injured epithelial cells release inflammatory mediators and chemotactic factors that attract inflammatory cells (i.e., PMNs and monocytes). Because Bowman's layer is compromised with surface ablations, these inflammatory mediators come into direct contact with the corneal stroma, setting off a wound healing cascade that results in haze formation. LASIK, by comparison, typically leaves the corneal epithelium and Bowman's layer relatively intact, so there is minimal release of inflammatory cytokines, minimal

contact with the corneal stroma, and hence minimal haze formation.

When photorefractive keratectomy was first introduced, mild anterior stromal reticular haze formation was common in treatments for moderate myopia. The postoperative healing response was, in most cases, successfully modulated with the use of topical steroids.³⁷ A small percentage of patients, however, still showed a type III aggressive wound healing response (keratocytic migration and proliferation) that lead to significant haze formation and myopic regression. Mitomycin C, an antibiotic used historically as a systemic chemotherapy/anti-neoplastic agent, has been shown to be very useful as a topical modulating agent that prevents keratocyte proliferation after photorefractive keratectomy.³⁸

In 2006, Iranian investigators looked prospectively at the effect mitomycin C (MMC) had on haze and regression in highly myopic eyes.³⁹ Fifty-four eyes of 28 patients with a mean myopic spherical equivalent of -7.08D (+/- 1.11D) underwent myopic PRK with subsequent MMC 0.02% for two minutes. Using a Hanna grading scale (0 to 4), postoperative haze was evaluated at one week, and at one-, three- and six-months. At one month post-op, just two eyes (3.7%) had grade 0.5 haze, while at three and six months, no haze was reported in any eyes.

Furthermore, all eyes treated achieved 20/40 uncorrected visual acuity, with 77% achieving 20/20. Despite concerns over potential cytotoxicity with MMC, no deleterious effects such as conjunctival chemosis, delayed epithelial migration, edema or melts were noted. The investigators concluded that PRK with MMC was a sound alternative to LASIK for high myopia.

Understanding Corneal Biomechanics

Our knowledge in the area of corneal biomechanics increased dramatically thanks to the work of John Marshall, Ph.D., of King's College, University of London. His work validated many of the aforementioned concerns.

Using X-ray diffraction technology, Dr. Marshall and colleagues demonstrated that the anterior third (150µm) of the central stroma, as well as the peripheral stroma, has the highest density of bridging and interweaving collagen filaments.⁴⁰ The weakest area of the corneal stroma was determined to be the central posterior two-thirds. When a flap is created (whether 100µm or 160µm deep), the cohesive tensile strength of the cornea is permanently weakened.⁴¹ Even though the flap is repositioned at the end of the LASIK procedure, it only contributes approximately 2% of the biomechanical support to the cornea—the rest is left to the thinner residual stromal bed.⁴²

The 2009 International Society of Refractive Surgery survey "U.S. Trends in Refractive Surgery," conducted by Richard Duffey, M.D., and David Leaming, M.D., reflects a growing understanding in corneal biomechanics and the importance of a thicker residual stromal bed postoperatively. Pertinent trends include:

- PRK or surface ablations rose from 14.7% to 15.6% of all laser vision correction volume.
- MMC use for haze prophylaxis in surface ablations is on the rise (92%).
- There is a trend towards thinner flaps, with 49% of surgeons preferring 100µm or less.
- 43% of surgeons measure true flap thickness intra-operatively.
- Nearly two-thirds of surgeons have had at least one case of iatrogenic post-LASIK ectasia. However, this is a downward trend.
- 54% are now leaving a minimum RSB of 275µm microns, compared to 44% choosing 250µm.
- Femtosecond laser use is on the rise (52%).

Is Sub-Bowman's Keratomileusis the Answer?

The idea of thin-flap LASIK (flap thickness between 90µm to 110µm), also known as sub-Bowman's keratomileusis, was put forth by Dr. Marshall as a way of combining the strengths of PRK and LASIK. Whether by mechanical means or laser, we now have the ability to reliably create smoother, thin, planar flaps, thereby allowing SBK to become a practical reality.⁴³⁻⁴⁵ The IntraLase typically creates flaps within +/- 12µm of intended thickness, whereas the LSK-1 and M2 (Moria) flaps were shown to be within +/- 19µm and +/- 24µm, respectively.⁴⁶

In a two-center study, Dan Durrie, M.D., and Stephen Slade, M.D., treated 50 patients, randomizing treatments of dominant eyes between PRK and SBK utilizing the 60Hz IntraLase femtosecond laser.⁴⁷ SBK flaps were designed with an overall diameter of 8.5mm and a thickness of 100µm. All eyes were treated with the LADAR-Vision 4000 and all treatments were wavefront-guided.

Results showed that while visual recovery time was faster with SBK-treated eyes, outcomes at six months were ultimately comparable. The clinical investigators also compared post-treatment corneal hysteresis utilizing the Ocular Response Analyzer (ORA, Reichert) and found that measurements of corneal rigidity were comparable between PRK- and SBK-treated eyes. Another prospective study comparing advanced surface ablation (ASA) to sub-Bowman's keratomileusis was conducted at the Naval Medical Center in San Diego by Steve Schallhorn, M.D., and David Tanzer, M.D.⁴⁸ Two hundred patients were randomized between the

two procedures. Epithelial removal in the ASA group was performed using the Amoils Epithelial Scrubber (Innovative Solutions). In the SBK group, surgeons used the IntraLase femtosecond laser to create flaps at a thickness of 100 μ m. This study showed that visual recovery was faster with SBK, but ultimately outcomes were comparable. Some 88% of eyes in both groups obtained 20/16 or better visual acuity. Additionally, there were no differences between groups in BCVA, photopic contrast acuity, or change in higher-order aberration RMS.

All-in-One Laser Platforms: A Paradigm Shift

While the debate may continue as to the best way to deliver excimer laser technology to the cornea, we may soon find ourselves debating whether or not excimer laser technology has a place in corneal refractive surgery at all.

ReLex (refractive lenticule extraction) is a revolutionary new technique currently being studied in Europe.⁴⁹ Using only femtosecond technology, specifically the Visumax femtosecond laser (Carl Zeiss Meditec, Inc.), a lenticule is created approximately 120 μ m deep from the corneal surface.⁵⁰ This lenticule is removed through a laser incision only 30 to 50 degrees wide (small-incision lenticule extraction, or SMILE) or from under a flap that is laser edged to 250° to 300° (femtosecond lenticule extraction, or FLEX) (figure 3).

Preliminary results look promising, and theoretical advantages of the SMILE procedure include:

- Less risk of flap-related complications (folds, dislocation, ingrowth, etc.).
- Less of an effect on dry eye disease.
- Preserved cohesive tensile strength of the cornea.

- Cost effectiveness in an “all-in-one” laser platform.

Until clinical trials begin here in the United States, we may just have to settle for an already FDA approved “all-in-one” laser procedure that safely and accurately corrects a large range of refractive errors, offers no risk of flap-related complications, has less of an effect on dry eye disease, is better with respect to corneal biomechanics, and does so at half the cost! It makes you wonder why wavefront-guided excimer laser photorefractive keratectomy is just an after-thought for so many of us.

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50. Personal conversation with Jesper Hjortdal, Professor, MD, PhD; Department of Ophthalmology, Aarhus University Hospital; Denmark.

OSC QUIZ

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- Which laser was the first excimer laser approved in the U.S. for the treatment of mild to moderate myopia?
 - Bausch + Lomb Technolas.
 - VISX Star.
 - Summit SVS Apex.
 - Nidek EC 5000.
- Microkeratome technology was pioneered by which ophthalmologist?
 - Stephen Trokel, M.D.
 - Marguerite McDonald, M.D.
 - Richard Lindstrom, M.D.
 - Jose Barraquer, M.D.
- Proponents for PRK/ASA over LASIK would argue based on the following points

except:

- Flap complications.
 - Comfort and convenience.
 - Ectasia risk.
 - Iatrogenic dry eye.
- Currently, intraoperative flap complications occur approximately what percentage of the time?
 - Less than 1% of the time.
 - 1% to 2% of the time.
 - 5% of the time.
 - 14% of the time.
 - Which of the following is considered a second-order aberration?
 - Coma.
 - Trefoil.
 - Astigmatism.
 - Spherical aberration.
 - The risk of post-LASIK ectasia with traditional microkeratome flaps has been estimated to occur:
 - Less than 1% of the time.
 - 1% to 2% of the time.
 - 5% of the time.
 - 14% of the time.
 - Which is not considered a risk factor for post-LASIK ectasia?
 - A thin RSB (residual stromal bed).
 - Pre-existing keratoconus.
 - Race.
 - High IOP.
 - Iatrogenic dry eye post-LASIK, lasting six months or longer, has been estimated to occur in what percentage of patients?
 - 0 to 20%
 - 20% to 40%
 - 40% to 60%
 - 60% to 80%

- As compared to PRK, which of the following has not been implicated as a cause for iatrogenic dry eye after LASIK?
 - Sensory denervation.
 - Goblet cell loss.
 - Deeper ablation depth.
 - Use of MMC.

- Biomechanically, the weakest part of the corneal stroma is:
 - The central anterior third.
 - The central posterior two-thirds.
 - The peripheral edges.
 - The 12 o'clock limbal position.

- Haze and regression are more likely to occur after which corneal refractive procedure for high myopia?
 - LASIK.
 - SBK.
 - PRK with MMC.
 - PRK.

- The LASIK flap, once repositioned, provides what percentage of biomechanical support to the cornea?
 - 2%.
 - 20%.
 - 98%.
 - 100%.

- Which of the following was NOT an accurate trend outlined in the 2009 ISRS survey "U.S. Trends in Refractive Surgery?"
 - Post-LASIK ectasia is on the rise.
 - Femtosecond laser use is on the rise.
 - PRK or surface ablations are on the rise.
 - MMC use in surface ablations is on the rise.